



Louisiana Department of Insurance

Producer Licensing

P.O. Box 94214
Baton Rouge, LA 70804-9214

Phone: (225) 342-0860 Fax: (225) 342-3754

Producer History Report

Whitmer, Timothy Allen (288425)

Run: 10/30/2012 09:13:06 AM

Trade Name: N/A
License Number: 288425
License Type: Individual Producer
Status: Active

Residency: Resident

Authority	Issue Date	Valid Through
Casualty	5/22/2002	3/31/2013
Property	5/22/2002	3/31/2013
Life	12/30/2002	3/31/2012
Accident and Health	12/30/2002	3/31/2012

Whitmer, Timothy Allen
P O Box 764
Harvey, LA 70059

License History

Date	Comment
2/7/2011	Producer License Renewal Application for Property and Casualty 2011 Was Approved
2/4/2011	Producer License Renewal Application for Property and Casualty 2011 Was Received
2/20/2010	A birth month renewal for this license was approved on 02/19/2010 at 2:20 PM.
12/14/2006	License Renewal Application For P&c 2009 Was Processed And Accepted.
11/27/2006	License Renewal Application For P&c 2009 Received In Doi Agent Licensing
11/21/2006	License Renewal Application For P&c 2009 Received In Doi Statistics
1/3/2006	License Renewal Application For Life 2008 Was Processed And Accepted.
12/27/2005	License Renewal Application For Life 2008 Received In Doi Agent Licensing
12/21/2005	License Renewal Application For Life 2008 Received In Doi Statistics
12/8/2004	License Renewal Application For P&c 2007 Was Processed And Accepted.
12/3/2004	License Renewal Application For P&c 2007 Received In Doi Agent Licensing
11/30/2004	License Renewal Application For P&c 2007 Received In Doi Statistics
1/27/2004	License Renewal Application For Life 2006 Was Processed And Accepted.
1/23/2004	License Renewal Application For Life 2006 Received In Doi Agent Licensing
1/8/2004	License Renewal Application For Life 2006 Was Reviewed, But Not Renewed. A Letter Was Sent Out Concerning: No Business Address.
12/22/2003	License Renewal Application For Life 2006 Received In Doi Agent Licensing
12/19/2003	License Renewal Application For Life 2006 Received In Doi Statistics
1/13/2003	License Renewal Application For P&c 2005 Was Processed And Accepted.
12/24/2002	License Renewal Application For P&c 2005 Received In Doi Agent Licensing
12/20/2002	License Renewal Application For P&c 2005 Received In Doi Statistics

Affiliations

Name	Position	% Owner	Start Date	End Date
Lagniappe Industries LLC	President	50	10/27/2005	

Appointments

Name	Company #	Authority	Issued	Expires	Status
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FILING DATE: 2/1/2006

COMMISSIONER OF INSURANCE
P.O. BOX 94214
BATON ROUGE, LA 70804-9214

Please make check or money order payable to the Louisiana Department of Insurance.

Any application postmarked after the filing date must include a \$50.00 late fee.

If the licensee has changed addresses without notifying this Department you must include an invalid address fine of \$50.00

RENEWAL APPLICATION
PLEASE READ THE FREQUENTLY ASKED QUESTIONS BEFORE COMPLETING THE FORM

License Type	Fees
Producer	\$50.00
ADD:	
Late Fee (if applicable)	
Invalid Address Fee (if applicable)	
TOTAL	50.00

PART 1 LICENSE INFORMATION
VERIFY AND PROCEED TO PART 2 IF INCORRECT OR MISSING

LICENSEE NAME: TIMOTHY ALLEN WHITMER		BUSINESS PHONE: 504-227-2363	
TRADE NAME(S):		FAX NUMBER: 504-367-1169	
E-MAIL ADDRESS: tmwhitmer@aol.com		WEBSITE ADDRESS: tmwhitmer@aol.com	
DATE OF BIRTH: 03/28/1980		DATE OF INCORPORATION OR PARTNERSHIP: N/A	
LICENSE #: 288426	LICENSE TYPE: Producer	LINES OF AUTHORITY: Life - C	CE HOURS: 0
RESIDENT/DOMICILE STATUS: Resident	SOCIAL SECURITY OR IRS EMPLOYER #: [REDACTED]		
MAILING ADDRESS: PO BOX 784 HARVEY LA 70059-0764	RESIDENT/DOMICILE STREET ADDRESS: 3809 LAKE WINNIPEG DR HARVEY LA 70058	BUSINESS STREET ADDRESS: 3809 LAKE WINNIPEG DR. HARVEY LA 70058	SURPLUS LINES RECORD LOCATION (STREET ADDRESS): N/A

PART 2 CHANGES AND CORRECTIONS
COMPLETE IF INFORMATION ABOVE IS INCORRECT OR MISSING

LICENSEE NAME:	BUSINESS PHONE:		
TRADE NAME(S):	FAX NUMBER:		
E-MAIL ADDRESS:	WEBSITE ADDRESS:		
MAILING ADDRESS:	RESIDENT/DOMICILE STREET ADDRESS:	BUSINESS STREET ADDRESS:	SURPLUS LINES RECORD LOCATION (STREET ADDRESS):

LRS22-1137C REQUIRES THAT ALL ADDRESS CHANGES BE REPORTED TO THE DEPARTMENT WITHIN TEN DAYS OF THE ALTERATION. FAILURE TO DO SO WILL RESULT IN A \$50 INVALID ADDRESS PENALTY. IF YOU INDICATED AN ADDRESS CHANGE ABOVE, DID THE CHANGE OCCUR MORE THAN 10 DAYS PRIOR TO RECEIPT OF THIS RENEWAL? YES NO

If yes, and you have not previously notified this Department of the change, you must include an invalid address fine of \$50.00 in addition to all other fees.

NEW INFORMATION

The Louisiana Department of Insurance may convert your payments by check to an electronic Automated Clearinghouse (ACH) debit transaction. This means that your account may be debited the day your check is received by the Louisiana Department of Insurance. Although the debit transaction will appear on your bank statement, your check will not be returned to your bank. If the electronic fund transfer cannot be processed for technical reasons, you authorize us to process the copy of your check.

Fiscal Division Only

Agent Licensing Only

FOR DEPARTMENT OF INSURANCE USE ONLY

RECEIVED
DEC 21 2005

ASSESSMENT & DATA MGMT.
COMMISSIONER OF INSURANCE

Classification Number	974207
Postmark Date	12-19-05
Date Initial Review Completed	12-29-05 v.s.
Date Processed and Accepted	
Initials	1-3-06 TR



50

PART 3

BACKGROUND INFORMATION
ALL QUESTIONS MUST BE ANSWERED

FAILURE TO ANSWER TRUTHFULLY WILL RESULT IN ADMINISTRATIVE ACTION AGAINST YOUR LICENSE

1.) Since your last renewal, have you been convicted of, or are you currently charged with, committing a crime, whether or not adjudication was withheld? Yes ___ No

"Crime" includes a misdemeanor, felony or a military offense. You may exclude misdemeanor traffic citations and juvenile offenses.

"Convicted" includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or nolo contendere, or having been given probation, a suspended sentence or a fine.

If you have a felony conviction, have you applied for a waiver as required by 18 USC 1033? N/A Yes ___ No ___

If so, was that waiver granted? (Attach copy of 1033 waiver approved by home state.) N/A Yes ___ No ___

If you answer yes, you must attach to this application:

- (a) a written statement explaining the circumstances of each incident,
- (b) a certified copy of the charging document, and
- (c) a certified copy of the official document which demonstrates the resolution of the charges or any final judgment.

2.) Since your last renewal, have you or any business in which you are or were an owner, partner, officer or director been involved in an administrative proceeding regarding any professional or occupational license? Yes ___ No

"Involved" means having a license censured, suspended, revoked, cancelled, terminated; or, being assessed a fine, placed on probation or surrendering a license to resolve an administrative action. "Involved" also means being named as a party to an administrative or arbitration proceeding which is related to a professional or occupational license.

"Involved" also means having a license application denied or the act of withdrawing an application to avoid a denial. You may exclude terminations due solely to noncompliance with continuing education requirements or failure to pay a renewal fee.

If you answer yes, you must attach to this application:

- (a) written statement identifying the type of license and explaining the circumstances of each incident,
- (b) a certified copy of the Notice of Hearing or other document that states the charges and allegations, and
- (c) a certified copy of the official document which demonstrates the resolution of the charges or any final judgment.

3.) Since your last renewal, have you had a child support obligation in arrearage? Yes ___ No

If you answer yes to Question 3, by how many months are you in arrearage? _____ Months

4.) Since your last renewal, have you been the subject of a child support related subpoena or warrant? Yes ___ No

PART 4

APPLICANT CERTIFICATION
MUST BE SIGNED AND DATED

I, the Undersigned, attest to fact that I have executed and read this application; that to the best of my knowledge and belief the statements made in the application and in any attachments are true and correct.

I, the Undersigned, further certify that I understand that filing or submitting false information or false representation of material fact is a criminal violation of LSA-R.S.14:133 and an administrative violation of R.S.22:1142(A)(2).

Timothy Allen Whistone
Printed Name of licensed applicant or
licensed member (partner, officer, director, stockholder or employee) of firm

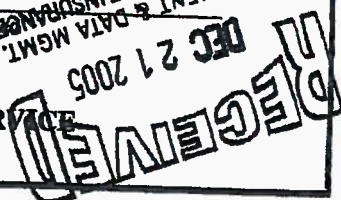
Timothy A. Whistone
Signature of licensed individual applicant or
licensed member (partner, officer, director, stockholder or employee) of firm

074297
License Number of Signing Officer if
renewal is for partnership or corporation

12-19-05

DATE SIGNED
ASSESSMENT & DATA MGMT.
COMMISSIONER OF INSURANCE
DEC 21 2005

APPLICATION MUST BE SENT THROUGH THE U.S. POSTAL SERVICE



RETURN ADDRESS

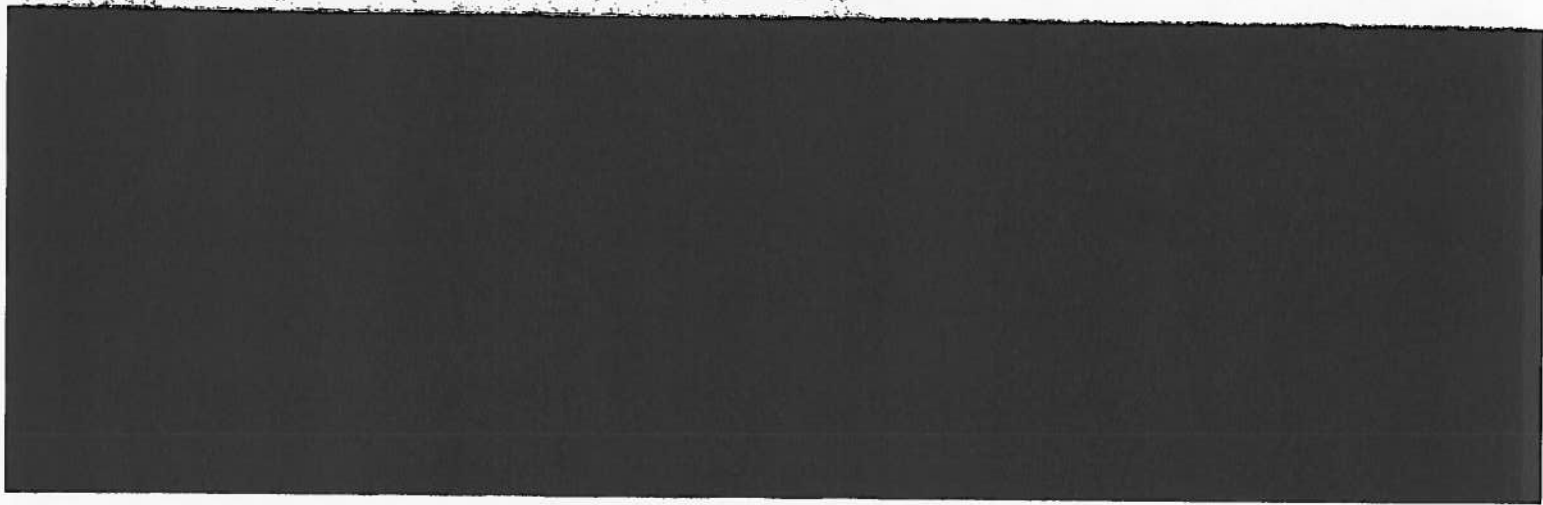
Tim & Dawn Whitmer
3809 Lake Winnipeg
Harvey, LA 70058

NOV 20 1994
COMMISSIONER OF INSURANCE
BATON ROUGE



COMMISSIONER OF INSURANCE
P.O. BOX 94214
BATON ROUGE, LA 70804-9214

**IMPORTANT RENEWAL APPLICATION
PRODUCER LICENSE DIVISION**





Louisiana Department of Insurance

Producer Licensing

P.O. Box 94214
Baton Rouge, LA 70804-9214

Phone: (225) 342-0860 Fax: (225) 342-3754

Individual Producer Report

Submitted: 05/01/2008
Whitmer, Timothy Allen
Resident Life Health and Accident License

Line(s) of Authority:

Whitmer, Timothy Allen
P O Box 764
Harvey, LA 70059

Question 1

Since the last renewal or initial application in this state, have you ever been convicted of a crime, had a judgment withheld or deferred, or are you currently charged with committing a crime?

No

Question 1a

If you have a felony conviction, have you applied for a waiver as required by 18 USC 1033?

Question 1b

If so, was that waiver granted?

Question 2

Since the last renewal or initial application in this state, have you or any business in which you are or were an owner, partner, officer, or director, or member or manager of limited liability company, ever been involved in an administrative proceeding regarding any professional or occupational license, or registration?

No

Question 3

Since the last renewal or initial application in this state, do you have a child support obligation in arrearage?

No

Question 3a

By how many months are you in arrearage?

Question 3b

Are you currently subject to a repayment agreement?

Question 3c

Are you the subject of a child support related subpoena/warrant?

License # 288425
Tax ID
Amount Paid \$50.00
Date Paid 11/06/2007



Louisiana Department of Insurance

Producer Licensing

P.O. Box 94214
Baton Rouge, LA 70804-9214

Phone: (225) 342-0860 Fax: (225) 342-3754

Individual Producer Report

Submitted: 11/03/2008
Whitmer, Timothy Allen
Resident Property and Casualty License

Line(s) of Authority:

Casualty
Property

Whitmer, Timothy Allen
P O Box 764
Harvey, LA 70059

Question 1

Since the last renewal or initial application in this state, have you ever been convicted of a crime, had a judgment withheld or deferred, or are you currently charged with committing a crime?

No

Question 1a

If you have a felony conviction, have you applied for a waiver as required by 18 USC 1033?

No

Question 1b

If so, was that waiver granted?

No

Question 2

Since the last renewal or initial application in this state, have you or any business in which you are or were an owner, partner, officer, or director, or member or manager of limited liability company, ever been involved in an administrative proceeding regarding any professional or occupational license, or registration?

No

Question 3

Since the last renewal or initial application in this state, do you have a child support obligation in arrearage?

No

Question 3a

By how many months are you in arrearage?

0

Question 3b

Are you currently subject to a repayment agreement?

No

Question 3c

Are you the subject of a child support related subpoena/warrant?

No

License # 288425

Tax ID

Amount Paid

Date Paid



Louisiana Department of Insurance

Producer Licensing

P.O. Box 94214
Baton Rouge, LA 70804-9214

Phone: (225) 342-0860 Fax: (225) 342-3754

Individual Producer Report

Submitted: 02/04/2011
Whitmer, Timothy Allen
Resident Property and Casualty License

Line(s) of Authority:

Casualty
Property

Whitmer, Timothy Allen
P O Box 764
Harvey, LA 70059

Question 1

Since the last renewal or initial application in this state, have you ever been convicted of a crime, had a judgment withheld or deferred, or are you currently charged with committing a crime?

No

Question 1a

If you have a felony conviction, have you applied for a waiver as required by 18 USC 1033?

No

Question 1b

If so, was that waiver granted?

No

Question 2

Since the last renewal or initial application in this state, have you or any business in which you are or were an owner, partner, officer, or director, or member or manager of limited liability company, ever been involved in an administrative proceeding regarding any professional or occupational license, or registration?

No

Question 3

Since the last renewal or initial application in this state, do you have a child support obligation in arrearage?

No

Question 3a

By how many months are you in arrearage?

0

Question 3b

Are you currently subject to a repayment agreement?

No

Question 3c

Are you the subject of a child support related subpoena/warrant?

No

License # 288425
Tax ID
Amount Paid \$50.00
Date Paid 02/04/2011

FILING DATE: 03/31/2012	COMMISSIONER OF INSURANCE P.O. BOX 94214 BATON ROUGE, LA 70804-9214	Please make check or money order payable to the Louisiana Department of Insurance.	
Any application postmarked after the filing date must include a \$50.00 late fee.	RENEWAL APPLICATION PLEASE READ THE FREQUENTLY ASKED QUESTIONS BEFORE COMPLETING THIS FORM	License Type	Fees
If the licensee has changed addresses without notifying this Department you must include an invalid address fine of \$50.00		Producer	\$50.00
		ADD:	
		Invalid Address Fee (if applicable)	
		TOTAL	50.00

PART 1 LICENSE INFORMATION
VERIFY AND PROCEED TO PART 2 IF INCORRECT OR MISSING

LICENSEE NAME:	Whitmer, Timothy Allen		BUSINESS PHONE:	(504) 388-2353
TRADE NAME(S):			FAX NUMBER:	(504) 367-1169
E-MAIL ADDRESS:	timwhitmer@aol.com	WEBSITE ADDRESS:		
DATE OF BIRTH:	03/28/1960	DATE OF INCORPORATION OR PARTNERSHIP:		
LICENSE #:	288425	LICENSE TYPE:	Producer	LINES OF AUTHORITY: AB CE HOURS: 24
RESIDENT/DOMICILE STATUS:	Resident	SOCIAL SECURITY OR IRS EMPLOYER #:		
MAILING ADDRESS:	RESIDENT/DOMICILE STREET ADDRESS:	BUSINESS STREET ADDRESS:	SURPLUS LINES RECORD LOCATION (STREET ADDRESS):	
P O Box 764 Harvey, LA 70059 Jefferson	3809 Lake Winnipeg Dr Harvey, LA 70058 Jefferson	3809 Lake Winnipeg Dr. Harvey, LA 70058 Jefferson		

PART 2 CHANGES AND CORRECTIONS
COMPLETE IF INFORMATION ABOVE IS INCORRECT OR MISSING

LICENSEE NAME:			BUSINESS PHONE:	
TRADE NAME(S):			FAX NUMBER:	
E-MAIL ADDRESS:			WEBSITE ADDRESS:	
MAILING ADDRESS:	RESIDENT/DOMICILE STREET ADDRESS:	BUSINESS STREET ADDRESS:	SURPLUS LINES RECORD LOCATION (STREET ADDRESS):	

LRs 22:1547G REQUIRES THAT ALL PRODUCER ADDRESS CHANGES BE REPORTED TO THE DEPARTMENT WITHIN TEN DAYS OF THE ALTERATION AND LRS 22:1671C REQUIRES THAT ALL ADJUSTER ADDRESS CHANGES BE REPORTED TO THE DEPARTMENT WITHIN THIRTY DAYS OF THE ALTERATION. FAILURE TO DO SO WILL RESULT IN A \$50 INVALID ADDRESS PENALTY. IF YOU INDICATED AN ADDRESS CHANGE ABOVE, DID THE CHANGE OCCUR MORE THAN 10 DAYS IF YOU ARE A PRODUCER OR 30 DAYS IF YOU ARE AN ADJUSTER, PRIOR TO RECEIPT OF THIS RENEWAL? YES NO

If yes, and you have not previously notified this Department of the change, you must include an invalid address fine of \$50.00 in addition to all other fees.

NEW INFORMATION

The Louisiana Department of Insurance may convert your payments by check to an electronic Automated Clearinghouse (ACH) debit transaction. This means that your account may be debited the day your check is received by the Louisiana Department of Insurance. Although the debit transaction will appear on your bank statement, your check will not be returned to your bank. If the electronic fund transfer cannot be processed for technical reasons, you authorize us to process the copy of your check.

RECEIVED APR 02 2012 ASSESSMENT AND DATA MGMT. COMMISSIONER OF INSURANCE	<small>Agent Licensing Only</small> RECEIVED APR 03 2012 COMMISSIONER OF INSURANCE AGENT LICENSING		FOR DEPARTMENT OF INSURANCE USE ONLY	
	Classification Number			
	Postmark Date	32912		
	Date Initial Review Completed			
	Date Processed and Accepted			
	Initials			

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PART 3

BACKGROUND INFORMATION

ALL QUESTIONS MUST BE ANSWERED

FAILURE TO ANSWER TRUTHFULLY WILL RESULT IN ADMINISTRATIVE ACTION AGAINST YOUR LICENSE

1. Have you been convicted of a crime, had a judgment withheld or deferred, or are you currently charged with committing a crime, which has not been previously reported to this insurance department? Yes No

Note: "Crime" includes a misdemeanor, a felony or a military offense.

You may exclude misdemeanor traffic citations and misdemeanor convictions or pending misdemeanor charges involving driving under the influence (DUI) or driving while intoxicated (DWI), driving without a license, reckless driving, or driving with a suspended or revoked license and juvenile offenses.

"Convicted" includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or nolo contendere, or having been given probation, a suspended sentence or a fine.

If you answer yes, you must attach to this application:

- a) a written statement explaining the circumstances of each incident,
- b) a copy of the charging document,
- c) a copy of the official document, which demonstrates the resolution of the charges or any final judgment.

If you have a felony conviction, have you applied for a waiver as required by 18 USC 1033? N/A Yes No

If so, was that waiver granted? (Attach copy of 1033 waiver approved by home state.) N/A Yes No

2. Have you been named or involved as a party in an administrative proceeding, including a FINRA sanction or arbitration proceeding regarding any professional or occupational license or registration, which has not been previously reported to this insurance department? Yes No

"Involved" means having a license censured, suspended, revoked, canceled, terminated; or, being assessed a fine, placed on probation, sanctioned or surrendering a license to resolve an administrative action. "Involved" also means being named as a party to an administrative or arbitration proceeding, which is related to a professional or occupational license, or registration. "Involved" also means having a license, or registration, application denied or the act of withdrawing an application to avoid a denial. INCLUDE any business so named because of your actions in your capacity as an owner, partner, officer or director, or member or manager of a Limited Liability Company. You may exclude terminations due solely to noncompliance with continuing education requirements or failure to pay a renewal fee.

If you answer yes, you must attach to this application:

- a) a written statement identifying the type of license and explaining the circumstances of each incident,
- b) a copy of the Notice of Hearing or other document that states the charges and allegations, and
- c) a copy of the official document which demonstrates the resolution of the charges or any final judgment.

3. Do you have a child support obligation in arrearage, which has not been previously reported to this insurance department? Yes No

If you answer yes, you must attach to this application:

- a) by how many months are you in arrearage? _____ Months
- b) are you currently subject to and in compliance with any repayment agreement? Yes No
- c) are you the subject of a child support related subpoena/warrant? Yes No

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APR 02 2012

ASSESSMENT AND DATA MGMT.
COMMISSIONER OF INSURANCE

10022523

PART 4

APPLICATION CERTIFICATION

MUST BE SIGNED AND DATED

- 1. I hereby certificate that, under penalty of perjury, all of the information submitted in this application and attachments is true and complete. I am aware that submitting false information or omitting pertinent or material information in connection with this application is grounds for license revocation or denial of the license and may subject me to civil or criminal penalties.
- 2. Where required by law, I hereby designate the Commissioner, Director or Superintendent of Insurance, or other appropriate party in each jurisdiction for which this application is made to be my agent for service of process regarding all insurance matters in the respective jurisdiction and agree that service upon the Commissioner, Director or Superintendent of Insurance, or other appropriate party of that jurisdiction is of the same legal force and validity as personal service upon myself.
- 3. I further certify that I grant permission to the Commissioner, Director or Superintendent of Insurance, or other appropriate party in each jurisdiction for which this application is made to verify information with any federal, state or local government agency, current or former employer, or insurance company.
- 4. I further certify that, under penalty of perjury, a) I have no child-support obligation, b) I have a child-support obligation and I am currently in compliance with that obligation, or c) I have identified my child support obligation arrearage on this applications.
- 5.) I authorize the jurisdictions to give any information concerning me, as permitted by law, to any federal, state or municipal agency, or any other organization and I release the jurisdictions and any person acting on their behalf from any and all liability of whatever nature by reason of furnishing such information.
- 6.) I acknowledge that I understand and will comply with the insurance laws and regulations of the jurisdictions to which I am applying for licensure.
- 7.) I certify that I am licensed and in good standing in my home state/resident state for the lines of authority requested from the non-resident state.

Timothy Allen Whistner

Printed Name of license applicant or licensed member (partner, officer, director, stockholder or employee) of firm

Tim Whistner

Signature of license applicant or licensed member (partner, officer, director, stockholder or employee) of firm

288425

License Number of Signing Officer If renewal is for partnership or corporation

3-14-12

Date Signed

APPLICATION MUST BE SENT THROUGH THE U.S. POSTAL SERVICE

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APR 02 2012

ASSESSMENT AND DATA MGMT. COMMISSIONER OF INSURANCE

10029599

On 3/22/2012 I plead guilty to one count of a misprision. I was aware of certain employee(s) in the Jefferson Parish Government violating the law and failed to report same to the appropriate authority.

Once I receive the official document which demonstrates the resolution of the charge or any final judgment I will forward same to the department.

Thanks



Timothy A. Whitmer

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APR 02 2012

ASSESSMENT AND DATA MGMT.
COMMISSIONER OF INSURANCE

10029599

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF LOUISIANA

UNITED STATES OF AMERICA * CRIMINAL NO. 12-046
v. * SECTION: "S"
TIMOTHY A. WHITMER *
a/k/a Tim Whitmer *
* * *

FACTUAL BASIS

Should this matter have proceeded to trial, the Government would have proven, through the introduction of competent testimony and admissible evidence, the following facts, beyond a reasonable doubt, to support the allegations in the Bill of Information now pending against the defendant:

I. BACKGROUND INFORMATION

Beginning in 1998, **TIMOTHY A. WHITMER**, a/k/a **Tim Whitmer** ("WHITMER") was named Chief Administrative Officer/Chief Administrative Assistant ("CAO") for Jefferson Parish. As CAO, **WHITMER** was responsible for the day-to-day operations of Jefferson Parish. **WHITMER** left the position of CAO after Hurricane Katrina because of job-related stress and because he became frustrated by the culture of corruption that occurred under the Aaron F. Broussard ("Broussard") administration. Despite these serious issues, **WHITMER** agreed to return to the

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ASSESSMENT AND DATA MGMT.
COMMISSIONER OF INSURANCE

position of CAO when Parish President Aaron F. Broussard promised him a significant raise in salary. WHITMER remained CAO until he resigned in January 2010.

Beginning in 1992, Karen Parker, a/k/a Karen Parker Broussard ("Parker") began working as an administrative assistant for the Jefferson Parish Council. On or about July 31, 2003, Parker resigned from her position as an administrative assistant for then Jefferson Parish Councilman Aaron Broussard and began working for Broussard's campaign for Jefferson Parish President. On or about October 4, 2003, Broussard was elected Parish President of Jefferson Parish. Approximately four years later, on or about October 20, 2007, Broussard was re-elected by the voters of Jefferson Parish. On or about May 29, 2004, Parker and Broussard were married

At all times relevant to this case, Thomas G. Wilkinson, a/k/a Tom Wilkinson ("Wilkinson"), was the Parish Attorney for Jefferson Parish and in that position had supervisory authority over the Jefferson Parish Attorney's Office, the authority to approve the hiring of new employees, and the authority to approve pay raises for Parish Attorney's Office employees.

The government would present evidence at trial to establish that Jefferson Parish utilized Iberia Bank, formerly Omni Bank, for Automated Clearing House transactions (payroll) who transmitted, via wire, these payroll transactions that crossed state lines before the payroll funds were deposited into the recipient (employee) bank account. Parker established direct deposit with the Jefferson Parish Employees Credit Union (JPEFCU) and her salary while she was employed at Jefferson Parish, as set forth below from 2004 through 2010, was deposited into her JPEFCU accounts. Wilkinson also established direct deposit with Iberia Bank, formerly Omni Bank, and, as a result, his salary was deposited into his Iberia/Omni Bank account

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APR 02 2017

ASSESSMENT AND DATA MGMT.
COMMISSIONER OF INSURANCE

Further, the government would present evidence that the Jefferson Parish Attorney's Office is a local government agency of Jefferson Parish. Jefferson Parish received federal assistance in excess of \$10,000.00 during each of the one year periods beginning on January 1st and ending December 31st for the years 2004, 2005, 2006, 2007, 2008, 2009, and 2010.

II. BROUSSARD USES HIS PUBLIC OFFICE AND TAX DOLLARS FOR HIS PERSONAL BENEFIT

A. The Creation of Karen Parker's "Paralegal Supervisor" Position With Jefferson Parish

1. The Secret Meeting

After his election on October 4, 2003, but prior to him taking office as the Jefferson Parish President, Broussard set up a meeting with TIM WHITMER, Tom Wilkinson, and one other high-ranking Jefferson Parish official to discuss creating a new and unnecessary position for Parker with the Parish under the Broussard administration. During this meeting, it was understood by the parties that Parker would be hired as a "Paralegal Supervisor" under the purview of the Parish Attorney's Office in Jefferson Parish. The Paralegal Supervisor position is an unclassified position and, therefore, Karen Parker was not required to take a Civil Service examination, nor was she subject to the strict hiring requirements and safeguards associated with Civil Service positions. Broussard specifically wanted to have other Parish officials, including Wilkinson, be the individuals who hired Parker, because he knew that once he took over the position of Parish President, he could not hire Parker, and there would be increased scrutiny as a result of their romantic relationship.

WHITMER knew that on or about October 28, 2003, Wilkinson approved the rescission/cancellation of Parker's July 31, 2003 resignation from Jefferson Parish employment, which allowed her to collect additional money and salary in the form of longevity pay, tenure awards,

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APR 02 2012

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COMMISSIONER OF INSURANCE

health insurance benefits, and annual leave. **WHITMER** also knew that Wilkinson approved the placing of Parker on leave without pay for the time period August 1, 2003, through October 31, 2003, thereby eliminating any break in her employment with Jefferson Parish.

The government would present evidence to establish that all parties to the decision to hire Parker – Broussard, Wilkinson, **WHITMER**, and one other official – knew or should have known that Parker was not qualified, trained, or certified as a “Paralegal Supervisor.” Despite this, on or about October 28, 2003, Parker was given the position of “Paralegal Supervisor” in the Jefferson Parish Attorney’s Office. Her starting salary was approximately \$48,000.00, which was higher than the salary range allowed for the position of Paralegal Supervisor under the Executive Pay Plan for Jefferson Parish.

Wilkinson approved the decision to hire Parker as a “Paralegal Supervisor,” approved her salary, rescinded her resignation, and approved her leave without pay status when he executed Parker’s Parish of Jefferson, Department of Human Resources Request to Fill a Vacant Job form on October 28, 2003.

According to the job description of Paralegal Supervisor, the essential functions of that position require that the “[i]ndividual conducts basic legal research, interviews witnesses, meets with inter-governmental personnel and members of the public, gathers evidence to formulate the Parish’s position on Parish or other matters. Individual prepares legal documents including pleadings, wills, contracts, leases, property descriptions and legal opinions setting forth the Parish’s position on Parish and other matters. Individual supervises and coordinates the activities of various support staff.” Moreover, the Jefferson Parish job description for the position of Parish Attorney’s Office Paralegal Supervisor required Paralegal Supervisors to have completed paralegal training and certification.

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Despite being given the position of a "Paralegal Supervisor," Parker was neither trained as a Paralegal or a Paralegal Supervisor, nor did she possess the required paralegal certification. Moreover, as noted above, the parties who helped place her as a "Paralegal Supervisor," including Broussard, Wilkinson, **WHITMER**, and the other parish official knew she did not possess the required paralegal certification and that she was not trained as a Paralegal.

During the period of time Parker was assigned to work at the Parish Attorney's Office as a "Paralegal Supervisor," from approximately October 2003 through March 2004, Parker did no work as a Paralegal Supervisor, nor did she perform any paralegal work. During this period of time, Broussard, Wilkinson, and others were likewise aware that Parker did no work as Paralegal Supervisor and the little work she did perform was not paralegal or paralegal supervisory work.

2. *Karen Parker Gets Moved to ID Management Department*

The government would present evidence that on or about March 8, 2004, Wilkinson approved the transfer of Parker to work for ID Management which was located at the East Bank Regional Library. ID Management is the department responsible for issuing access badges to Jefferson Parish employees. Jefferson Parish determined that the Parish only requires one employee to hold the position of ID/Security System Coordinator. Despite her transfer to the East Bank Regional Library, Parker retained her position and higher salary of "Paralegal Supervisor" until her dismissal on or about February 5, 2010.

As with her work at the Parish Attorney's Office, during the time period she worked at the East Bank Regional Library, Parker did not perform any of the duties of, and did no work as, a "Paralegal Supervisor." Likewise, Broussard, Wilkinson, and **WHITMER** were aware, during this same period of time, that Parker did not perform the duties of, and did no work as, a "Paralegal

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Supervisor” while assigned to the ID Management department. Moreover, both Broussard and Wilkinson had first-hand knowledge that Parker did not appear at times at the location she was assigned (East Bank Regional Library) to work.

As Parish President, Broussard utilized a full-time employee with the title Executive Protection Specialist (“EPS”). The EPS was traditionally a ranking member of the Jefferson Parish Sheriff’s Office. The primary responsibility of the EPS was to act as a bodyguard and to pick up the Parish President at his home in the mornings and chauffeur him throughout the day and return him home after the conclusion of his evening’s activities. The EPS was not a parish employee and, therefore, was not permitted to supervise Jefferson Parish government employees. Nevertheless, under Aaron Broussard’s administration, the EPS became the defacto supervisor of Karen Parker, along with Tom Wilkinson. As a result, the EPS, who was Broussard’s driver/bodyguard, was put in the position of monitoring Karen Parker, wife of the Parish President.

3. *Karen Parker’s Salary at Jefferson Parish Benefits Aaron Broussard*

Evidence would be introduced at trial to establish that Karen Parker and Aaron Broussard had approximately four joint bank accounts, including accounts for their condominium in Perdido Key, Florida. In addition, Karen Parker and Aaron Broussard filed their federal income tax returns jointly and applied for bank loans and/or mortgages jointly. Accordingly, Parker’s salary benefitted not only Parker, but also her husband, Aaron Broussard.

The government would introduce evidence at trial that beginning in 2003, as noted above, Parker was given an annual salary of approximately \$48,000 as a “Paralegal Supervisor,” which was higher than the salary range allowed for that position under the Executive Pay Plan for Jefferson Parish. This salary range was approved and known by Wilkinson, as the Parish Attorney, and

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Broussard, as Parker's romantic interest. In 2004, 2007, and twice in 2008. Parker was approved for Annual Evaluation Pay Raises by Wilkinson. These pay raises were approved by Wilkinson and known by Broussard and WHITMER despite the fact that WHITMER, Wilkinson and Broussard knew Parker was not performing any of the essential functions for the position of "Paralegal Supervisor" and, indeed, Parker was not qualified for, and did no work as, a "Paralegal Supervisor." From approximately 2004 through 2009, Wilkinson authorized pay raises for Parker from approximately \$46,439.99 to approximately \$63,898.36, knowing that these raises would result in increased retirement benefits to Parker. In total, from 2004 through 2010, Parker was paid approximately \$323,308.13 in Jefferson Parish taxpayer funds for her salary.

4. *Broussard Abuses His Public Office*

In or around 2004, newly-elected Jefferson Parish President Aaron Broussard informed WHITMER and Wilkinson that he filed a letter in the Clerk of Council's Office stating that he was going to recuse himself from any and all parish business involving, among others, Company A. The reason for this recusal, according to Broussard, was because Broussard was receiving monies from, among others, Company A, as a "consulting fee" or a "retainer." Indeed, from in or around 2005 through in or around 2007, Broussard received over \$40,000 from Company A. Despite Broussard's supposed "recusal," Broussard repeatedly directed WHITMER to do whatever he could to steer Jefferson Parish business to Company A. WHITMER and Wilkinson were aware that, though Broussard claimed to have recused himself from dealings involving, among others, Company A, his recusal was a sham.

For example, despite his supposed recusal, in or about 2007, Jefferson Parish sought to hire a company for a particular project via a Request for Proposal ("RFP") process. In that same year,

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Broussard approached WHITMER and gave him a draft RFP for this project. WHITMER knew this draft RFP provided to him by Broussard was tailored so that Company A would win the RFP and indeed, Broussard expressly told WHITMER, in or around late 2007 or early 2008, that he had committed to Company A's owner that he would steer the contract for this project to Company A. Ultimately, despite Broussard's efforts, Company A did not win this contract, though Company A did receive other work in Jefferson Parish.

5. *Broussard's Use of Campaign Funds for Personal Gain*

In addition, the government would introduce evidence that Broussard repeatedly used his campaign funds to pay for personal expenses and vacations. It was known by WHITMER that Broussard would have "fundraisers" in Lake Tahoe, Nevada and would use these "fundraisers" as the mechanism to pay for the trip. In addition, Broussard used his campaign account and campaign donations to help fund his and Karen Parker's honeymoon to France and for numerous other personal expenses.

B. *Aaron Broussard Improperly Diverts Taxpayer Funds for the Benefit of Tom Wilkinson*

1. *Aaron Broussard Rewards Tom Wilkinson for Hiring and Keeping Karen Parker*

After the hiring of Parker as a "Paralegal Supervisor" in Jefferson Parish in October 2003, Broussard retained Wilkinson as the Parish Attorney in December 2003. As Parker's salary was annually being raised by Wilkinson, Broussard, as Parish President, was approving annual pay raises for Wilkinson. From approximately 2004 through 2009, Broussard authorized pay increases for Wilkinson. In early 2004, Wilkinson advised WHITMER of Karen Parker's improper requests for overtime and/or comp pay. As a result of Wilkinson's and WHITMER's conversation, Broussard

that Parker was violating parish rules by attempting to collect overtime/comp pay under

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the circumstances described to Broussard. When Broussard was informed by WHITMER that Karen Parker could not collect overtime/comp pay while working from home, Broussard responded in a dismissive manner and wasn't concerned. WHITMER then explained to Broussard that it was against Jefferson Parish policy for an employee to collect overtime/comp pay while working from home.

2. *Tom Wilkinson Receives a \$36,000 Pay Raise for Helping Broussard With a Private Family Matter*

Another example of Aaron Broussard using taxpayer funds for his personal gain occurred near the end of 2008 and throughout 2009. In the Fall of 2008, Wilkinson attempted to use his position as a board member at a local private school in order to assist a family member of Broussard in the competitive admission process. In January 2009, approximately two weeks after Broussard's family member was accepted into the school that Wilkinson intervened on his behalf, Broussard directed WHITMER to give Wilkinson the maximum salary possible because Broussard believed that Wilkinson had helped Broussard's family member get into the local private school. After receiving Broussard's instructions to max-out Wilkinson's salary, WHITMER told Wilkinson that Broussard had instructed WHITMER to give Wilkinson the maximum possible salary increase because Broussard believed Wilkinson had helped get his family member into school. As a direct result, Wilkinson's salary was increased from approximately \$147,677.14 to approximately \$183,870.05. The only reason/justification given for this \$36,000 raise was that Wilkinson had helped Broussard in a private family affair. It was improper and illegal for Broussard to award a raise to Wilkinson for personal reasons and likewise it was also illegal for Wilkinson to accept the \$36,000 salary increase knowing Broussard's justification for the raise. WHITMER was aware that

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Wilkinson began to draw the higher salary as soon as the paperwork was processed and that the raise would result in increased retirement benefits to Wilkinson.

C. WHITMER and His Business Partners Pay Broussard

WHITMER, along with two other business partners, operated an insurance business that sold insurance policies to various businesses and municipalities. In 2009, WHITMER approached Broussard and asked Broussard if he would be willing to assist WHITMER in securing additional insurance business. WHITMER and his partners agreed to pay Broussard \$1,000 per month for Broussard's assistance. The payments continued for five months and were discontinued when media reports began to investigate WHITMER's insurance dealings. Neither WHITMER nor Broussard sought Jefferson Parish Council approval for their self-dealings.

III. MISPRISON OF A FELONY REGARDING THEFT CONCERNING PROGRAMS RECEIVING FEDERAL FUNDS

A. The Misprison of Parker's, Broussard's and Wilkinson's Theft

As set forth above, WHITMER, Broussard, and Wilkinson knew, from approximately 2004 through 2010, that Parker was not qualified, trained, or certified to be a Paralegal Supervisor in Jefferson Parish and did not do any work as a Paralegal Supervisor in Jefferson Parish. In hiring, retaining, and paying Parker as a "Paralegal Supervisor," Broussard and Wilkinson intentionally stole or committed theft with Jefferson Parish taxpayer funds. Though WHITMER was aware that Broussard and Parker were stealing or committing theft of property valued at \$5,000 or more which was owned by or under the care, custody, and control of the Parish of Jefferson (because Parker did not perform any work as a Paralegal Supervisor), WHITMER did not report this crime to federal authorities and, indeed, concealed this crime from the authorities by, among other things, allowing

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Parker to continue to accept her higher salary as a "Paralegal Supervisor" and allowing her to continue to be classified as a "Paralegal Supervisor."

B. The Misprision of Wilkinson's Theft of \$36,000

In addition, WHITMER knew that Broussard and Wilkinson were committing other felonies when Broussard gave, and Wilkinson accepted, a \$36,000 pay raise solely for Wilkinson's intercession on behalf of Broussard's family member in the competitive admissions process for a local private school.

C. The Misprision of Broussard's Crimes Involving the Awarding and/or Negotiation of Jefferson Parish Contracts

WHITMER was also aware that Broussard and others committed additional felonies related to the awarding of contracts entered into and/or negotiated on behalf of Jefferson Parish. Specifically, WHITMER was aware that Broussard would improperly interject himself into contract negotiations and would secretly advocate on behalf of certain businesses including, but not limited to, Company A, as set forth above.

IV. LIMITED NATURE OF FACTUAL BASIS

This proffer of evidence is not intended to constitute a complete statement of all facts known by WHITMER and described by WHITMER to the government, but rather is a minimum statement of facts intended to prove the necessary factual predicate for his guilty plea. The limited purpose of this factual basis is to demonstrate that there exists a sufficient legal basis for WHITMER's plea of guilty to the charged offense.

Various records, including bank statements, financial statements, mortgage statements, employment-related documents, forms (including tax records and disclosure forms) and other records, would be introduced to prove the facts as set forth above.

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Testimonial evidence, including testimony from representatives of the Federal Bureau of Investigation, Internal Revenue Service, as well as other witnesses, would also be admitted to prove the facts set forth above.

BRIAN M. KLEBBA
Assistant United States Attorney
New York Bar Roll No. 2938728

Date

MATTHEW S. CHESTER
Assistant United States Attorney
Texas Bar No. 24045650

Date

GREGORY KENNEDY
Assistant United States Attorney
Louisiana Bar No. 20896

Date

TIMOTHY A. WHITMER
Defendant

Date

PATRICK FANNING
Counsel for Defendant
Louisiana Bar Roll No. 5441

Date

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LOUISIANA DEPARTMENT OF INSURANCE

JAMES J. DONELON
COMMISSIONER

CERTIFIED MAIL
RETURN RECEIPT REQUESTED
7008 0150 0000 7807 3741

May 15, 2012

Timothy Whitmer
3809 Lake Winnipeg Dr.
Harvey, LA 70058

Re: 18 USC 1033 Compliance

Dear Mr. Whitmer:

This will acknowledge receipt of your Life Health & Accident reinstatement application submitted on April 3, 2012. You disclosed information that on March 22, 2012 you plead guilty to one count of Misprision of a Felony.

Pursuant to Louisiana statute R.S.22: 1554A(19) an applicant may be refused a license, renewal, or reinstatement if convicted of a felony involving dishonesty or breach of trust as provided for in Federal law 18 USC 1033. You must therefore apply for a written waiver from the Commissioner of Insurance prior to having a license issued, renewed, or reinstated. This requirement became effective January 1, 2002.

You must make a written request for the application to:

Mr. Paul Boudreaux
Office of Fraud
Louisiana Department of Insurance
P.O. Box 3096
Baton Rouge, LA 70821

Once the application has been submitted to the Office of Fraud, it will be reviewed for completion and a determination will be made whether to grant the consent required for obtaining a license. If you have any questions relative to this procedure, please contact the Office of Fraud at (225) 342-4956.

If you do not make application to the Office of Fraud within thirty (30) days from the date of receipt of this letter, your file will be closed and your license request will be denied.

Sincerely,

Stacy Brock
Insurance Specialist 3